

CFA[®]

PROGRAM

CHARTERED FINANCIAL ANALYST

LEADING GLOBAL PROVIDER

Over 24 years in offering all 3 levels of CFA[®] Program training in Hong Kong. Proven track record and experience over 50 class locations.

EXPERT TRAINERS

Satisfaction Rate of Trainer #: ≥90%

FLEXIBLE COURSE OPTIONS

PROTECTION SCHEME*

Free resit for the following exam

CATCH-UP VIDEOS (Unlimited access)

Review or make up missed sessions anytime online

COMPREHENSIVE LEARNING APPROACH

CEF REIMBURSABLE COURSE



Approved Prep
Provider



CFA Institute

Kaplan Financial (HK) Limited is a member of the CFA Institute Approved Prep Provider Program.

FOR MORE INFORMATION

kfmhk **facebook**

www.kaplan.com.hk/kfm

THE CFA® PROGRAM

CFA® Program
Chartered Financial Analyst

Approved Prep
Provider



CFA Institute

Kaplan Financial (HK) Limited is a member of the CFA Institute Approved Prep Provider Program.

CFA® Program makes global standard for professionals in the financial sector, from which they gain investment knowledge and ethical principles. Earning a CFA® Charter you are one step ahead of becoming a financial professional.

Enter the CFA® Program

U.S. Bachelor Degree
(or equivalent)

OR

Final Year
Bachelor Degree Program

OR

4 Years
Full Time Professional Work*

*Not necessary investment related

Valid International Travel Passport
+
Meet the Professional Conduct Admission Criteria

BECOME THE CHARTERHOLDER

Pass 3 Levels of Exams



48 Months Relevant Work Experience
accumulated before, during or after the exam



2 Sponsor Statements
for CFA Institute & society membership application



Become Regular Member of CFA Institute



Maintain Charterholder Status

CFA® PROGRAM OVERVIEW

- ▶ Administered by CFA Institute since 1962
- ▶ Generalist study and exam program for investment professionals
- ▶ Three exams based on a practice-based curriculum that changes annually to meet nature and complexity of the global investment profession
- ▶ Focus on the knowledge essential to the investment decision-making process
- ▶ Recognized as the “gold standard” in the industry

WHY DO THOUSANDS PURSUE THE CFA® CHARTER?

GOLD STANDARD WORLDWIDE

International standard for measuring competence and integrity; clients and colleagues regard charterholders with a presumption of expertise

CAREER ENHANCING

Represents a mark of quality, proof that you have mastered a rigorous curriculum and possessed a broad range of investment knowledge; a “passport” for entry to or advancement within the profession

ESSENTIAL KNOWLEDGE

Focuses on knowledge based on current industry practice, anticipated future trends and competencies essential to practice in the investment profession

GLOBAL NETWORK

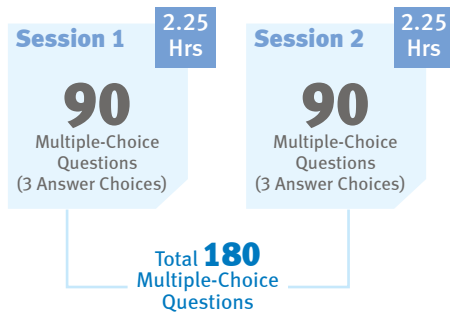
Instant access to a global network of financial professionals

CFA Institute does not endorse, promote, or warrant the accuracy or quality of the products or services offered by Kaplan Financial. CFA Institute, CFA® and the Chartered Financial Analyst® are trademarks owned by CFA Institute.

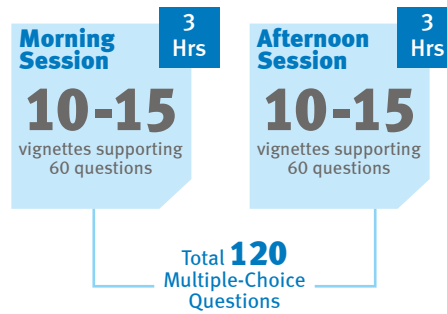
CFA Institute recommends minimum **300 hours** of study time for each level. Your time commitment is critical to success. Kaplan CFA® Exam Prep will **enhance your understanding of the materials** but your commitment to **out-of-classroom study** is essential.

EXAM STRUCTURE Computer-based

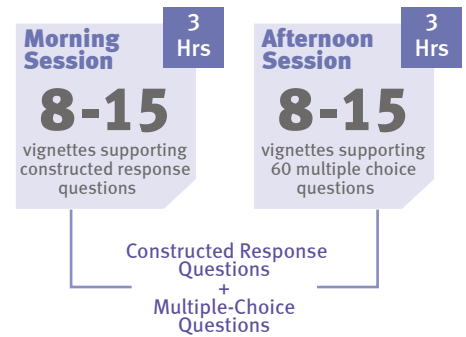
LEVEL I



LEVEL II



LEVEL III

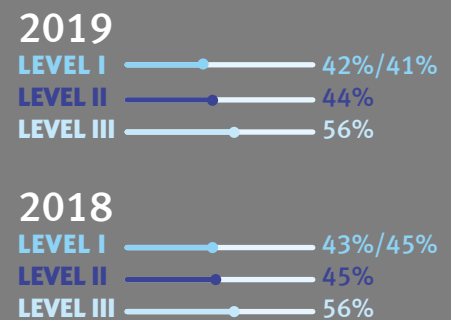


Level II & III exam details to be updated by CFA Institute

Topic Area Weights

Exam Topic	LEVEL I	LEVEL II	LEVEL III
Ethical and Professional Standards	15%	10-15%	10-15%
Quantitative Methods	10%	5-10%	-
Economics	10%	5-10%	5-10%
Financial Reporting and Analysis	15%	10-15%	-
Corporate Finance	10%	5-10%	-
Equity Investments	11%	10-15%	10-15%
Fixed Income	11%	10-15%	15-20%
Derivatives	6%	5-10%	5-10%
Alternative Investments	6%	5-10%	5-10%
Portfolio Management and Wealth Planning	6%	5-15%	35-40%

CFA Exam Global Pass Rates



EXAM FEES (FEB 2021 LEVEL I)

	EARLY REGISTRATION	STANDARD REGISTRATION
	DEADLINE 13/08/20	DEADLINE 03/11/20
Enrollment Fee*	USD 450	USD 450
Registration Fee	USD 700	USD 1,000
Curriculum†	USD 150	USD 150
Approximate Total Cost	USD 700 - 1,300	USD 1,000 - 1,600
	HKD 5,460 - 10,140	HKD 7,800 - 12,480



Exam Sessions (Asia Pacific)

23/02/21 - 01/03/21

For details, please refer to CFA Institute:
<https://www.cfainstitute.org/en/programs/cfa/exam>



*For Level I new candidates only.
†Optional print version

THE CFA[®] PROGRAM

COURSE STRUCTURE

CANTONESE

ENGLISH



4 - 6 Months
before exam

EDUCATION

Learn new facts, concepts, processes, procedures, and principles

18-20 Sessions

54-60
Hrs



1 - 2 Months
before exam

REVISION

Retain and remediate new knowledge through application and practice

9 Sessions

27
Hrs

LEARNING OUTCOMES

Knowledge Building

- Cover all big-picture concept vital to understand CFA[®] Program curriculum and provide exam-related tips and tricks

Simulation and Practice

- Concentrate on exam taking strategies and techniques
- Intensive exercises drilling with full debriefs
- Additional review of most difficult and tricky concepts

LEARNING RESOURCES

Education Program Slide Pack

Practice Questions

- End-of-topic Q&A (Level I only)

Free Schweser Essential Package

- FREE (Retail \$4,900)



Revision Mind Maps

- Summarize key concepts at a glance

Revision Q&A Book

- Over 800 exam-standard concept checkers

ONLINE ACCESS & SUPPORTING SERVICES

Online Pre-Course (enroll by Early Bird Deadline)

- Start early by watching approximate 9 hours of online instruction on fundamental concepts

Online Progress Test

- 6 tests (Level I), 4 tests (Level II & III) scheduled at key milestones
- Extra questions to consolidate knowledge

Online Catch-up Video[^]

- If you are unable to attend a class, catch up by watching recorded lectures from home

Swap Class[^]

- Catch up missed lectures by swapping to another class
- 6 sessions (Level I), 5 sessions (Level II & III)

Online Catch-up Video[^]

- If you are unable to attend a class, catch up by watching recorded lectures from home

Swap Class[^] (2 sessions)

- Catch up missed lectures by swapping to another class

Online Education/Online Revision

- Captured from live Education Program & Revision Course
- Access the same quality teaching (trainer's audio, annotations and visuals) as students do in live lectures, except swap class service
- Enjoy one-time free delivery of course slide pack to Hong Kong office address
- Tap into trainer support via email

[^]Terms and conditions apply.



1 - 2 Weeks
before exam

MOCK

Evaluate retention and application of new knowledge

Mock Exam

4.5-6
Hrs

Review

3
Hrs

Performance Measure

- Practice the skills and knowledge required in real exam
- Trainer's post-mock debrief

Exam-standard Mock Exam Questions

Personalized Performance Reports

- Evaluate your performance, identify areas for improvement and priorities

Graded Answer Sheet

- Including Essay Questions (Level III Only)

Study Room

- Study room will be opened around 3 weeks before the exams. Details will be announced via email.

**Unlimited
Access**



Prep at your own pace anytime anywhere

System Requirement

Visit goo.gl/CZd8ZP

LEVEL III IPS ESSAY WORKSHOP (12 HRS) (RECOMMENDED)

The Level III exam can be the most intimidating for some candidates because of the constructed response (essay-type) questions. Unlike the other two levels, you will be asked to take the concepts in the curriculum and develop your own recommendations and solutions. This can be tough for a lot of candidates and will almost certainly test your ability to manage time during the test.

In the IPS Essay Workshop, we will build up your confidence by **covering a set of typical IPS questions and demonstrating the methodology** that earn the maximum points.

You will learn how to :

- Read questions carefully
- Organize your work and plan before you write
- Write concise answers and give the graders an easy method to grade
- Use of phrases and follow-up sentences
- Use of answer templates

One IPS essay question will be critiqued and graded by the trainer.

Course materials:

- IPS Course Slide Pack (with examples)
- IPS Question Book

Private Tutoring:

- Tailor made your own 1:1 or group tutoring with an expert trainer
- More intensive and focused practice comparing to regular program
- Customize your own schedule and study plan based on your needs

Contact us for assessment and price quote

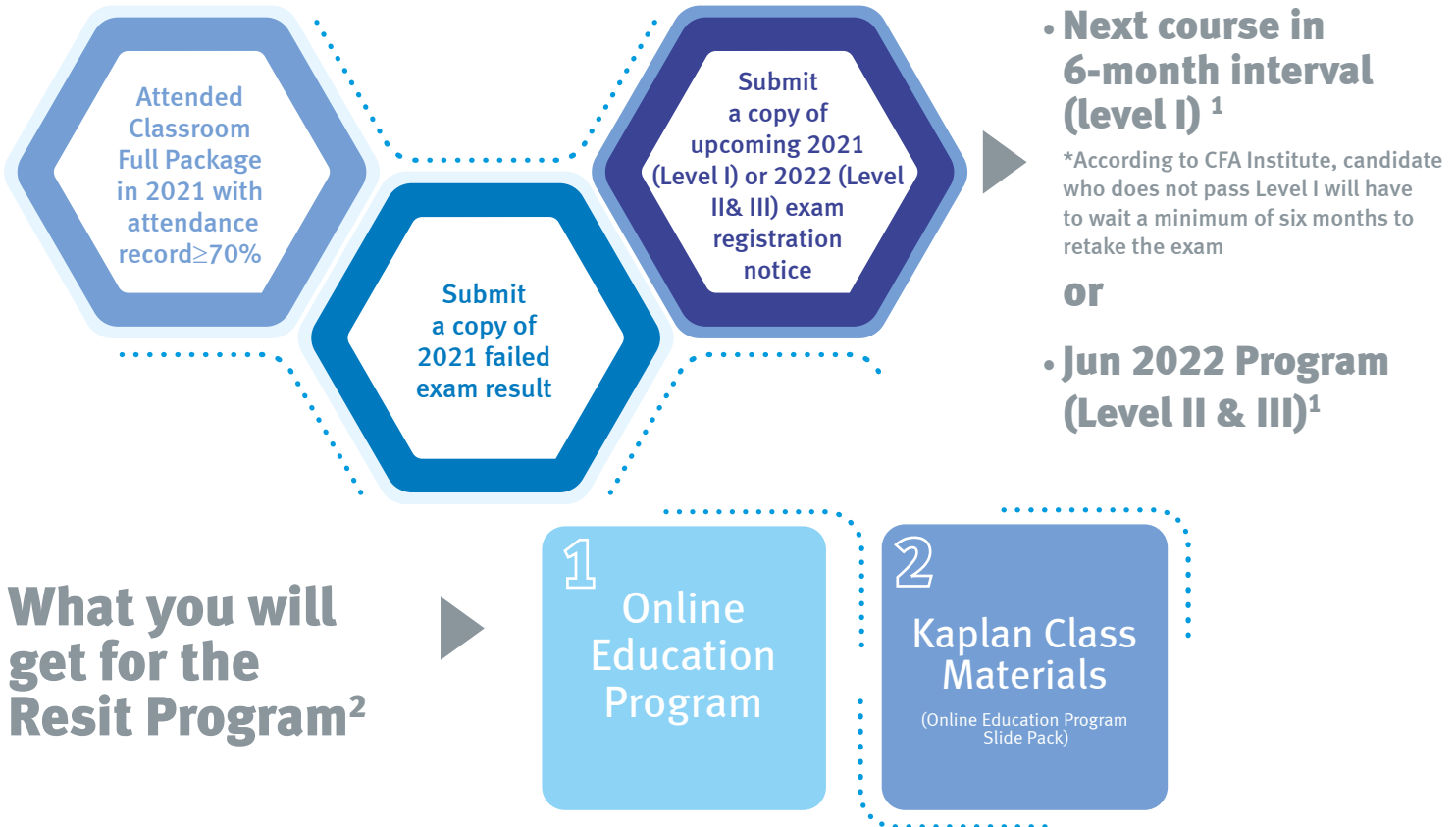
Tel: 2526 3686

Email: hkcf@kaplan.com

THE CFA® PROGRAM

PROTECTION SCHEME

Yes! You are eligible if ...



Remarks:

A new set of Schweser Essential Package **will not be provided** for the resit. At your discretion, you may purchase the updated Schweser materials at the applicable fees.

1. To qualify for the free resit program, you must have i) attended Classroom Full Package (including Classroom Education, Classroom Revision and Mock Exam & Review) at Kaplan Financial in 2021 exam sitting and; ii) an attendance record of at least 70%, which will be based on your signature on our class attendance sheet and; iii) to submit the Free Resit Request form, fail notice of the same level, together with the following exam registration notice for the same level (6-month interval for Level I, 2022 exam for Level II & III).

2. If you are eligible, you can resit the online education program and receive Kaplan class materials for the following exam sitting in the same level.

Upon successful enrollment (Education Program/Full Package) Start your preparation before class commencement

1 Online pre-course
Enroll Education Program/Full Package by early bird deadline for our exclusive online pre-course features:

Level I:

Approximate 9 hours of online instruction on Fundamental Financial Reporting, Financial Maths, Basic Economics and BAII+ Calculator tips

Level II & III:

9 hours of instruction extracted from Jun 2020 exam season

2 FREE Schweser Essential Package*
For product availability, please refer to Appendix II.

Remark: *Terms & conditions apply.

Enroll Education Program/Full Package and get your free set of 2021 Schweser Essential Package in advance



WHAT OUR STUDENTS SAY



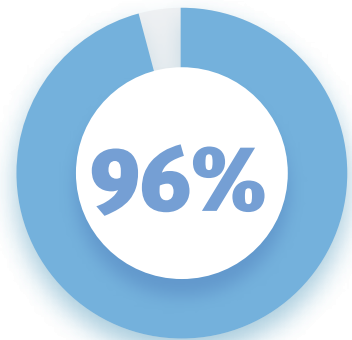
*I found that Kaplan's prep course for the CFA® program is incredibly helpful for a number of reasons. Most importantly, we had a great teacher - **engaging, enthusiastic, helpful, and highly exam-focused.***

*I'm also grateful for his detailed, **step-by-step approaches** in teaching us how to answer exam questions.*

*Also, the **catch-up videos** were very helpful for **reviewing concepts** and **catching things I had missed in class!***

Sarah Peterson

2015 - 2016
Level I & II Alumni



**OF OUR STUDENTS SAID
KAPLAN'S CFA®
EXAM PREP IS USEFUL
(2018 - 2020 SURVEY RESULT)**



*I have passed CFA Level 1 exam with **total grade among the top 10% of global candidates.***

*Sincere thanks to my trainer for his **great teaching** and to Kaplan for the extremely **excellent course design and course materials.***

Without the help of Kaplan and my trainer, I might not be able to achieve this result at all

Esther Liu Yangzi

2019
Level I Alumni



**HEAR WHAT OUR
STUDENTS SAY**



START YOUR PREPARATION NOW!

Free Seminars & Demo Lecture

Understand more about the curriculum and **exam tips**

Trainer is here to answer your questions

www.kaplan.com.hk/financial-markets/events

Register Here



Free Resources

Take advantage of these **free** study materials from Kaplan. Get a competitive edge in your studies with our free resources.

eBook / CFA® Fundamentals, 2nd Edition

Calculator Workshop / Texas Instruments BA II Plus Calculator

Practice Test / Try some questions

Demo Lectures / Have a taste of our lessons

Facebook / Latest activities & promotions

Mindmap / Review core concepts

<https://fmlanding.kaplan.com.hk/cfa/>

For More Details



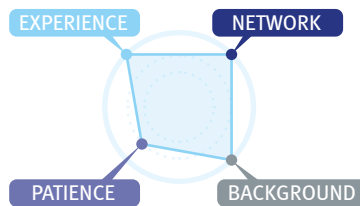
THE CFA® PROGRAM

Trainers' Profile

Dr. Kyle Wong

PhD(Cornell), CFA, FRM

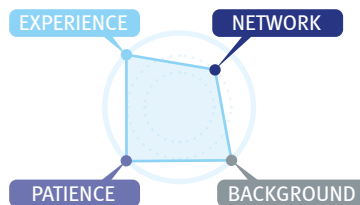
- Over 12 years of experience in teaching CFA Level I, II and III
- Design, develop and deliver financial training programs to top financial institutions and MNCs
- Expertise in investment tools, risk management, financial analysis, hedge fund, structured products, portfolio management and wealth management
- Subject expert of CFA Level III essay questions
- Trainer of the first CFA Level III IPS workshop in Hong Kong
- Currently Director of Kaplan's Financial Markets Division
- COO of Avant Capital Management, a greater China value focused hedge fund
- Columnist for i-Money Magazine
- Joined from DBS, where he was VP of Complex Hybrid Products. Prior to that, he held numerous executive positions at Merrill Lynch, HSBC, RBC, Citi in Canada, Singapore and Hong Kong



Mr. Larry Yuen

MSc Risk Management Science (CUHK),
MSc (ECom&IComp) (HKU),
MFE (Lond), CFA, CAIA, FCA

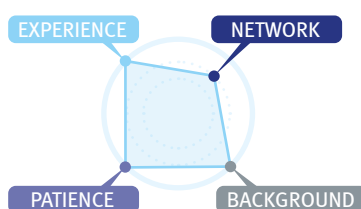
- All-round trainer with extensive experience in finance, risk management and accounting
- Over 20 years of experience in the financial industry spanning across wealth management and capital markets
- Held senior positions at Citi, Deutsche Bank, ABN Amro, ING and Schroder
- Expertise in portfolio management and fund management
- Experienced trainer in delivering master-level finance courses
- Numerous real-life examples to illustrate and solidify concepts



Mr. James Lam

MSc Financial Mathematics (HKUST), CFA

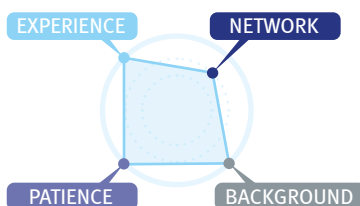
- Over 12 years of experience in the financial industry in multi-asset classes, including equities, derivatives, and convertibles
- An expert in portfolio management, risk management, hedge fund, and structured products
- Held senior positions at numerous asset management firms, where he managed equity asset portfolios
- A passionate trainer, with extensive experience in valuation, financial statements, and risk management, who can distill complex concepts into simple ideas



Mr. Jonathan Lau

BA Econ (Cambridge), CFA

- Investment specialist with over 12 years of experience in multi-asset investments
- Was Head of Research for 7 years at Brooks Macdonald Asset Management based in London with over US\$13bn in assets under management
- Co-chaired the Asset Allocation Committee that oversaw the investment process, fund selection and marco research strategy
- Prior to that, held positions in Deutsche Bank's corporate team and IFG, a financial services company, where he managed pension funds
- Passionate trainer who will share tips from his experience in passing all three levels of the exam in one-go



AVERAGE RATING OF TRAINERS#

#Jun 2017-2020 Statistics



FAQ

Q: Is your course suitable for me if I don't have solid background in Finance? Will it be difficult for me to catch up in class?

Our most complete 3-Phase learning strategy (Education + Revision + Mock Exam) for the CFA® Program, known as **Full Package** is the most comprehensive training in Hong Kong. We not only help you build core concepts but also take it further by enhancing exam techniques and rehearsing exam.

For students who do not have background in business nor strong financial knowledge, we offer **Free Online Pre-course** for those who enroll on or before early bird deadline. Approximate 9 hours of online instruction on fundamental concepts helps you build a solid foundation and acquire key skills required to ensure a smooth start.

We also recommend you to begin your CFA® Program journey with our **Free Resources**, including CFA® Fundamental eBook, Calculator Workshop etc. For details, please refer to Page 7.

Q: Will you help me register the exam?

You are responsible for registration of the CFA® exam. If you have not done so, you can register online at: www.cfainstitute.org/programs/cfa/register. Please beware of the exam registration deadline indicated on Page 3.

Q: How long should I prep the exam?

The CFA® exam is tough, CFA Institute recommends **minimum of 300 hours** of study time for each level. Instead of expending more energy and time trying to figure out the complexities of the curriculum, outsource it to a professional like Kaplan. So you can study more efficiently. Our most complete CFA® Program 3-Phase learning strategy (Education + Revision + Mock Exam) includes everything you need to maximize study effectiveness. The duration of our program takes 3 to 5 months to get you exam-ready.

Q: I may not be able to attend some of the sessions. What should I do?

Don't worry, we have the best solution for you. Our Online Catch-up Videos and Swap Class Service are here to prevent you from missing any classes. Contact our Customer Service Officer and request:

Swap Class Service

Catch up missed session by swapping to another class. Up to 6 sessions for Level I and 5 sessions for Level II & III Education Program and 2 sessions for all three levels (Revision Course).

Online Catch-up Videos

Education Program and Revision Course with trainer annotations is recorded and available for post-class viewing anywhere with internet access.

Q: Which trainer is better?

Any educational program is only as good as the people who construct and deliver it. We have assembled the most effective, well-respected, and competent team of professional trainers to create and deliver Kaplan Exam Prep.

To learn more of their teaching styles and how trainers work through problems, you are welcome to attend our free seminars. For dates of upcoming seminars and to register, please visit: www.kaplan.com.hk/financial-markets/events.

Q: Which study solution is right for me?

Majority of people would prefer following a pre-set schedule, interacting with trainer and participants, learning in a classroom environment. **Our Classroom Program** has helped thousands of candidates to prepare for the exam in the traditional way.

If you are juggling between career and study which makes attending classroom class almost impossible, with our **Online Program** or **Kaplan Schweser Self-study Package**, you have the freedom and flexibility to decide what fits your learning style and condition.

Q: Any pass guarantee?

We understand that the qualification can be tough to pass, if you don't get the result you wanted, not all is lost. Therefore we offer Protection Scheme for you. Details please refer to Page 6.

Q: I think your program is quite expensive. May I know why I should choose Kaplan for preparing my CFA® exam?

We offer one of the **highest number of hours** of training program in Hong Kong. Designed around a 3-Phase learning strategy (Education + Revision + Mock), all our courses are guided by academic researches on the most effective study method to prepare for the exam. Many providers stop in the Education Phase. We take it further by enhancing exam techniques and rehearsing exam in the Revision Course and Mock Exam.

Q: I want to know more about the exam. Where can I find more information?

Please contact CFA Institute, contact details as below.

Hong Kong Office

23/F, Man Yee Building, 68 Des Voeux Road,
Central, Hong Kong
Tel: 2868 2700
24-hour Info: 8228 8820

Head Office in US

Email: info@cfainstitute.org
Tel: +1 (434)951-5499
Fax: +1 (434) 951-5262
Website: www.cfainstitute.org
(login to submit inquiry at website)

Terms and Conditions

Terms and Conditions are subject to change without notice. Please review the full and most updated version from our website www.kaplan.com.hk/kfm periodically. All matters and disputes will be subject to the final decision of Kaplan. The below terms and conditions DO NOT apply to individuals needing reimbursement from the Continuing Education Fund (CEF), who should refer to Enrollment Form CCO1 and its overleaf for CEF-specific terms and conditions. These Terms and Conditions set out your entire agreement with Kaplan Financial for the particular course and supersede all previous agreements or understandings made (verbally or in writing) in relation to the course.

Enrollment Policy

1. A completed enrollment form/order form must be accompanied by payment in full.
2. Prices are subject to change and we reserve the right to charge additional amounts to reflect any pricing changes taking effect before course commencement date(s).
3. Kaplan Financial reserves the right to cancel a course if enrollment is insufficient and/or make alterations regarding trainers, class locations, class schedules, topic sequence and time allocated to each topic should the circumstances so required.
4. Course administration details including confirmed dates and teaching venue will be emailed to students approximately 3 working days prior to course commencement.
5. Classroom courses will be delivered in person, unless there are health and safety measures or other unexpected situations that Kaplan is unable to ensure the safety of campus. If such situation occurs, the lessons may be delivered online without providing face-to-face sessions.

Attendance Policy

6. An attendance sheet will be placed either outside or near the entrance of the classroom for sign-in. It is students' responsibility to sign for each session, as well as signing-in before students enter the classroom. It is students' responsibility to sign accurately and on time, as the signatures will be served as the only record of attendance.
7. Courses are not transferable between individuals. Under all circumstances, nobody except the registered students can participate in the registered class or gain access to student services. Kaplan Financial does not accept substitutes, in whole or in part, to obtain services, attend classes and sign on behalves. Regular attendance check, that involves a quick check of valid ID and contact information, will be conducted throughout the course without notice. Once entered into the premises, individuals will be bound by Kaplan Financial's school regulations.
8. Students are not allowed to swap or transfer class without Kaplan Financial's written or email approval.

Cancellation Policy

9. 50% course fee is refundable at any time up to 2 weeks prior to the course commencement date. No refund is allowed thereafter.
10. Cost of any course notes, Kaplan Schweser products and financial calculator are not refundable.
11. Cancellations will be subject to an administrative fee of \$300 per course.
12. Funds received but not utilized or refunded in accordance with these Terms and Conditions will be forfeited to Kaplan Financial.
13. Refund will only be arranged upon the receipt of all necessary documents and course fee paid will be refunded to the participant by cheque.
14. Any request for refund due to extenuating personal reasons will be considered and determined on a case by case basis, upon presentation of supporting documentation. The common extenuating reasons are illness, accident, pregnancy and bereavement.
15. The following reasons will not be grounds for refund: students' financial circumstances, failure to register for an exam, taking the exam in a later exam sitting, not pursuing the designation, change of work circumstances (i.e. job, location, working hours, obligations etc), difficulty level of course not suitable, dislike of trainer's presentation style.

Transfer Policy

16. Kaplan Financial would only accept written request to transfer to another class of the same course in the same exam sitting at any time up to 2 weeks prior to original course commencement. The request will be assessed and approved on a case-by-case basis, subject to classroom capacity and other conditions decided by Kaplan Financial. Any approved transfer will be subjected to an administrative fee of \$300 per course. This fee is non-refundable and non-transferable.
17. Students must complete the course in the current exam sitting and are not allowed to transfer or defer any portion of the course and fees to the future exam sittings.

Attendance Certificate for CPT Purpose

18. For subjects relevant to the individual's function and which may help to enhance the performance of their job functions would meet CPT purpose. It is up to the employers or corporations to determine suitability of the course in meeting CPT requirement. Individuals are responsible for verifying with employers using course topics and schedule. It is a matter of discretion for individual employers to recognize any qualification to which this course may lead.
19. Within two months from the completion of the course, students may submit a request for certificate of attendance if they have achieved at least 70% attendance. \$50 will be charged for successful issuance. Students will be notified to collect the certificate at our centre in 14 working days.

Re-issuance of Official Receipt

20. An administration fee of \$50 will be charged for each re-issuance of official receipt.

Alteration of CEF Reimbursement Status Not Accepted

21. At the time of registration, students must make final decision on whether or not they will reimburse course fees from the Continuing Education Fund (CEF). Students are responsible for indicating this on the appropriate enrollment form. Kaplan Financial does not accept alteration of CEF status once enrollment is processed. Any alternative of the intention for applying CEF after submission of the enrollment form will induce ineligibility for their CEF claims.

Catch-Up Video (available for Classroom Learning – Education Program and Revision Course only)

22. Kaplan Financial does not warrant that the catch-up videos will be uninterrupted or error-free. All catch-up videos are provided on an "as is, as available" basis. A substitute video of another trainer, lectured in a different language and pace might be arranged.
23. Attendance will not be counted for watching of a video for CPT or attendance purpose.
24. You agree to meet the minimum system requirements set forth for Kaplan Financial's online requirement. It is a limited license to use the content until the exam date of your enrolled semester. All online resources are to be used for the student's own personal use and benefit. Unauthorized use of the online resources may be subject to legal action.

Swap Class

25. Maximum of 6 sessions for Level I; 5 sessions for Level II & III for Classroom Learning Education Program students (2 sessions for Classroom Learning Revision Course students). You must accept and be aware that certain degree of variance do exist between classes of the same course. Swap class is only accepted for classes within the same course semester.
26. Request must be made at least 3 working days prior to the swapped class. Swap class will be accepted on a first-come, first-served basis.
27. You are required to sign on the attendance record sheet as usual when you enter a swap class. Your name will be written manually on the last page (swap class) of attendance list. Failure to sign-in on the right page would be regarded as absence.

Online Access

28. Online Pre-course, videos and online progress tests are to be used for students' personal use and benefit. Shared use of online service is prohibited. Never share your password with anyone. Access will expire on exam date of your enrolled semester.

29. After the enrollment is completed, we will acknowledge your enrollment within 7 working days by (1) mailing you the official receipt, (2) emailing you the login information (for Revision Course, login information will be emailed 7 working days prior to course commencement). If you do not hear from us, please check with us at +852 2526 3686 or email to hkcfaf@kaplan.com.

Class Materials and Stationery

30. Class materials will be distributed on regular intervals – first on the first day of course and also during the progression of the course.
31. All class materials must be collected within the same course semester.
32. Students must bring stationery and the financial calculator (Texas Instruments BAII Plus or HP 12C) to class. If students have not used HP 12C before, Kaplan Financial recommends Texas Instrument BAII Plus. Students must bring all course notes collected to class. Kaplan Financial does not have spare copies to lend.

Housekeeping

33. All housekeeping issues of which students need to be aware, will be brought to students' attention on the first day of course.

Copyright Policy

34. All class materials are for the sole use by registered students only. All materials, in whole or in part, may not be reproduced, shared, rent, copied or transmitted in any format or by any means, electronic or mechanical, including photocopying, recording, or by any information storage and retrieval system, without written permission from Kaplan Financial. The unauthorized duplication of class materials is a violation of global copyright laws.
35. Video or audio recording devices are prohibited under any circumstances.

eLearning

36. Enrollment to eLearning programmes will only be processed after Kaplan receives the applicants' signed acknowledgement of having satisfied Kaplan eLearners' system requirements. The validation aims to give you the opportunity to fully test the technology and ensure satisfactory viewing result before enrollment is processed. Your enrollment and payment will be put on hold until the validation is returned.
37. Kaplan offers a one-time free delivery of course materials to a Hong Kong local address (preferably business address). Delivery will be arranged once the materials are ready. You are responsible for ensuring there is an authorized personnel to receive the materials at time of delivery. Kaplan will not re-ship course materials that are returned to us. You are welcome to collect in person or arrange your own courier services.
38. Kaplan will deliver to most countries, subject to additional shipping charges. You are responsible for any tax and custom duties that may apply. Please contact us for a quotation.
39. It is a limited license to use the content only between the times of access is granted and the exam date for the same semester. Kaplan bears no responsibility to extend the access period, regardless of students' actual exam date.
40. For cancellations received in writing 2 weeks prior to activation of online account, 50% of the course fee will be charged. No refund is allowed thereafter.

Kaplan Schweser Purchase

41. Sales of all products are final, non-refundable and non-returnable once order is placed and payment received.
42. Schweser study materials are protected by the laws of copyright of the United States and other countries throughout the world. Unauthorized creation, purchase, photocopy (i.e. pirated, illicit copies), scanning, use and transmitting of these materials, or portions thereof, in any form whatsoever, is a violation of the copyright law. Violators will be reported to the police and HK Customs and Excise Department where they may be subject to criminal and civil liability.
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45. Schweser does not warrant that Online Access will be uninterrupted or error-free. Online access to www.schweser.com is provided on an "as is, as available" basis. You agree to meet the minimum system requirements set forth for Kaplan Schweser's electronic products.
46. Date of collection is subject to availability of different materials. Purchasers must present official receipt to collect the materials before the exam date of your enrolled semester.
47. Repeating discounts cannot be combined with any other offers, discounts or promotions. Candidates must present the required supporting documents at the time order is placed.

Feedback Mechanism

48. Kaplan Financial encourages constructive feedback on all aspects about the quality of teaching and learning. Throughout the course, if students are not satisfied about our course or services, students are advised to write to the Course Director with full descriptions and evidence. Kaplan Financial will take reasonable and prompt action to try to resolve your concerns. Complaints that are lacking in substance and not made in good faith will not be taken.
49. A course evaluation will be administered at the end of the course.

Ethics and Standards of Professional Conduct

50. Kaplan Financial and Schweser reserve the right to report any cases of misconduct, dishonesty, fraud or deceit to CFA Institute, other professional and government bodies. This can result in disciplinary action that may include in a delay in or a permanent suspension of student's participation in Kaplan Financial; also may impact on your membership and certification with CFA Institute.
51. If students see any suspicious act of unauthorized recording or illegal attendance during the class, please alert our staff. Kaplan Financial reserves our right to report any case found to the Police and relevant professional bodies, including but not limited to CFA Institute.

Education Bureau Required Disclaimer

52. It is a matter of discretion for individual employers to recognize any qualification to which this course may lead.

Personal Data Protection

53. If you do not wish to receive our offers and updates, please email us at hkmarketing.kf@kaplan.com to unsubscribe.

Code of Conduct

54. It is the responsibility of every student to be aware of the Kaplan Hong Kong Code of Conduct's content as published in <http://www.kaplan.com.hk/code-of-conduct> and to abide by its provisions.

Kaplan Financial (HK) Limited

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Fax : 2501 0589 www.kaplan.com.hk/kfm



LEVEL I - COURSE SCHEDULE (FEB EXAM)

Appendix I

EDUCATION PROGRAM

60 hours

20 sessions

PT = Online Progress Test Release Date		CLASSROOM			ONLINE	
TRAINER ►		LARRY YUEN CANTONESE			JAMES LAM CANTONESE	JONATHAN LAU ENGLISH
		DATE	DAY	TIME	DATE	DATE
1	Quantitative Methods	27/09/20	Sun	10:00 -13:00	All videos available now	All videos available now
2	Quantitative Methods	27/09/20	Sun	14:00 -17:00		
3	PT1 Quantitative Methods	04/10/20	Sun	10:00 -13:00		
4	Financial Reporting and Analysis	04/10/20	Sun	14:00 -17:00		
5	Financial Reporting and Analysis	11/10/20	Sun	10:00 -13:00		
6	Financial Reporting and Analysis	11/10/20	Sun	14:00 -17:00		
7	Financial Reporting and Analysis	18/10/20	Sun	10:00 -13:00		
8	PT2 Financial Reporting and Analysis	18/10/20	Sun	14:00 -17:00		
9	Portfolio Management	01/11/20	Sun	10:00 -13:00		
10	Portfolio Management + Corporate Finance	01/11/20	Sun	14:00 -17:00		
11	Corporate Finance	08/11/20	Sun	10:00 -13:00		
12	Equity	08/11/20	Sun	14:00 -17:00		
13	PT3 Equity	15/11/20	Sun	10:00 -13:00		
14	Fixed Income	15/11/20	Sun	14:00 -17:00		
15	Fixed Income	22/11/20	Sun	10:00 -13:00		
16	Derivatives	22/11/20	Sun	14:00 -17:00		
17	PT4 Derivatives + Alternative Investments	29/11/20	Sun	10:00 -13:00		
18	Economics	29/11/20	Sun	14:00 -17:00		
19	PT5 Economics	06/12/20	Sun	10:00 -13:00		
20	PT6 Ethical and Professional Standards	06/12/20	Sun	14:00 -17:00		

REVISION COURSE

27 hours

9 sessions

		CLASSROOM			ONLINE	
TRAINER ►		LARRY YUEN CANTONESE			JAMES LAM CANTONESE	JONATHAN LAU ENGLISH
		DATE	DAY	TIME	DATE	DATE
1	Quantitative Methods	09/12/20	Wed	19:00 -22:00	All videos will be released in Aug 2020	All videos will be released in Aug 2020
2	Financial Reporting and Analysis	13/12/20	Sun	10:00 -13:00		
3	Financial Reporting and Analysis	13/12/20	Sun	14:00 -17:00		
4	Portfolio Management + Corporate Finance	03/01/21	Sun	10:00 -13:00		
5	Corporate Finance + Equity	03/01/21	Sun	14:00 -17:00		
6	Equity	10/01/21	Sun	10:00 -13:00		
7	Fixed Income	10/01/21	Sun	14:00 -17:00		
8	Derivatives + Alternative Investments	17/01/21	Sun	10:00 -13:00		
9	Economics + Ethical and Professional Standards	17/01/21	Sun	14:00 -17:00		

MOCK EXAM

4.5 hours

DATE	DAY	TIME
23/01/21	Sat	09:30 - 11:45 & 13:45 - 16:00

MOCK EXAM REVIEW

3 hours

CLASS A CANTONESE		TRAINER: LARRY YUEN	
DATE	DAY	TIME	
27/01/21	Wed	19:00 - 22:00	

CLASS B ENGLISH		TRAINER: LARRY YUEN	
DATE	DAY	TIME	
03/02/21	Wed	19:00 - 22:00	

CLASSROOM LEARNING	EARLY BIRD PRICE	ORIGINAL PRICE
Classroom Full Package	ENROLLED BY 19/09/20	
Education + Revision + Mock Exam and Review + Schweser Essential Package (Print & Online)	\$12,750	\$15,000
Education Program Only	ENROLLED BY 19/09/20	
Education + Schweser Essential Package (Print & Online)	\$10,240	\$12,800
Revision Course Only	ENROLLED BY 28/11/20	
	\$5,300	\$6,300
Mock Exam & Review Only	\$1,300	

CEF applicants and holders, please refer to Enrollment Form CC01 and its overleaf for prices and specified terms and conditions.

ONLINE LEARNING	EARLY BIRD PRICE	ORIGINAL PRICE
Blended Full Package	ENROLLED BY 19/09/20	
Online Education + Classroom Revision + Classroom Mock Exam and Review + Schweser Essential Package (Print & Online)	\$12,750	\$15,000
Online Full Package	ENROLLED BY 19/09/20	
Online Education + Online Revision + Classroom Mock Exam and Review + Schweser Essential Package (Print & Online)	\$12,750	\$15,000
Online Education Program Only	ENROLLED BY 19/09/20	
Online Education + Schweser Essential Package (Print & Online)	\$10,240	\$12,800
Online Revision Course Only	ENROLLED BY 28/11/20	
	\$5,300	\$6,300

Add on item (For both Classroom & Online Learning): Online Schweser Mock Exam \$600 (original \$800)

Interest-free Installments

Card holders of HSBC VISA / Master card, and selected Amex Cards can enjoy:
6-month(HSBC) or 12-month(Amex) interest-free installment for fees of \$10,000 or above in single payment.
Please enroll in person. Not eligible if you need CEF reimbursement.
Credit cards bearing the American Express name, brand or logo issued by its licensees in Hong Kong are eligible for the Interest Free Installment Plan. Credit Cards, Corporate Cards, and US Dollars Cards issued in Hong Kong by American Express International, Inc. and all American Express Cards issued outside of Hong Kong are not eligible for this Interest-free Installment Plan. Please check with Kaplan for details.



Continuing Education Fund

Institute Code : 519
Course Title : Kaplan Financial (HK) Limited

The following courses have been included in the list of reimbursable courses for CEF purpose.

CFA LEVEL 1 EDUCATION PROGRAMME [23Z02088-4]
- Equivalent to Classroom Learning of Education Program

CFA LEVEL 1 REVISION COURSE AND PRACTICE EXAM [23Z01762-A]
- Equivalent to combination of Classroom Learning of Revision Course plus Mock Exam & Review

Upon "successful completion" of the course, Kaplan Financial will issue you a "Proof of Completion of Course" before 31/03/21. "Successful completion" of the course means that you have attended no less than 70% of the course AND you have attained the passing mark of 50% in the assessment.

Important: Please use Enrollment Form CC01 to enroll. You should read and understand the 'Terms and Guidance Notes for Course Registration' overleaf before submitting the form. Other courses, services, PassInsurance, and Schweser products are NOT CEF reimbursable.

Upon successful enrollment (Education Program/Full Package) Start your preparation before class commencement

1

Online pre-course

Enroll Education Program/Full Package by early bird deadline for our exclusive online pre-course features:

Level I:

Approximate 9 hours of online instruction on Fundamental Financial Reporting, Financial Maths, Basic Economics and BAII+ Calculator tips

Level II & III:

9 hours of instruction extracted from Jun 2020 exam season

2

FREE Schweser Essential Package*

For product availability, please refer to Appendix II.

Remark: *Terms & conditions apply.

Enroll Education Program/Full Package and get your free set of 2021 Schweser Essential Package in advance



Level I - Kaplan Schweser Study Package Appendix II

Schweser study solutions are LOS-based, concise, and efficient - keeping you engaged in the learning process. Schweser provides study plan comprised of video instruction, practice with thousands of questions, and retention tools to maintain top-of-mind awareness of key concepts.

Exclusive Sale in Kaplan HK

Get a \$4,900 rebate if you purchased Schweser Packages from Kaplan HK first and sign up Kaplan selective courses later[^]

[^]Kaplan selective courses include Classroom Full Package, Classroom Education Program, Blended Full Package, Online Full Package and Online Education Program for CFA 2021 Feb & May exam.

STUDY PACKAGE		PremiumPlus™ Package	Premium Package	Essential Package	Product Availability
		HK\$11,300	HK\$7,000	HK\$4,900	Level I
ONLINE INSTRUCTION					
Live Online Weekly Class [#] (Asia Time)	Online	●			Every Wed Sep 16, 2020 to Jan 13, 2021
OnDemand Class	Online		●		Available Now
Weekly Class Workbooks, Vol. 1 & 2	Print	●			Print - Mid Sep 2020 Online - Early Aug 2020
OnDemand Class Workbooks, Vol. 1 & 2	Online		●		Early Sep 2020
InstructorLink	Online	●	●	●	Available Now
STUDY NOTES AND PRATICE TOOLS					
SchweserNotes™ Vol. 1-5 - include Topic Quizzes	Print, eBook & Online	●	●	●	Available Now
QuickSheet*	Print & eBook	●	●	●	Available Now
SchweserNotes™ Videos	Online	●	●	●	Available Now
Practice Exams (4 in 1)	Online	●	●	●	Mid Aug 2020
Checkpoint Exams	Online	●	●	●	Available Now
SchweserPro™ QBank	Online	●	●	●	Available Now
Resource Library	Online	●	●	●	Available Now
FINAL REVIEW					
Live Online Review Workshop (3-Day)	Online	●			Late Jan 2021
OnDemand Review Workshop	Online		●		Late Dec 2020
Review Workshop Workbook Set	Print (For PremiumPlus™ Package) Online (For Premium Package)	● Print	● Online		Print - Late Dec 2020 Online - Mid Dec 2020
Secret Sauce®*	Print, eBook & Online	●	●		Print - Early Nov 2020 eBook & Online - Mid Oct 2020
SCHWESER COMMITMENT					
Schweser Commitment and PassProtection™	Print, eBook & Online	●	●	●	

Product availabilities are subject to change without notice. Please review the full and most updated version from our website www.kaplan.com.hk/kfm periodically. All matters and disputes will be subject to the final decision of Kaplan.

*eBook version of SchweserNotes™, Secret Sauce® and QuickSheet can only be viewed through VitalSource® with limited access period. For more information regarding VitalSource®, please visit our FAQ page. (https://www.schweser.com/faq?344-1_active=4)

#Class schedule: In Asia time from 8pm - 11pm, exact schedule and trainers (TBD)

The Schweser Commitment

Receive the same version of study package
Free of charge for the following exam

You are eligible if you meet the following criteria :

- Available for 2021 purchasers of the Essential, Premium, or PremiumPlus™ Packages.
- You must answer at least 50% of your available online questions (i.e., QBank, Topic Assessments, and Practice Exams).

In order to enjoy the Schweser Commitment, you must attach the following documents for same level:

- 1 2021 exam fail notice
- 2 Following exam registration notice
- 3 Schweser Commitment Application Form

PassProtection™

If don't meet the above criteria, still receive
up to 50% off your same-level purchases for the following 2022 exam

You are eligible if you meet the following criteria :

- Available for 2021 PremiumPlus™, Premium, and Essential Package purchasers, who will retake the exam for the same level in 2021, are eligible to receive 50% off for PremiumPlus™, Premium and Essential Packages.

In order to enjoy the PassProtection™ discount you must attach the following documents with order form:

- 1 2021 exam fail notice for same level
- 2 Following exam registration notice for same level
- 3 Order form

PremiumPlus™, Premium or Essential Package
2020 purchasers

PassProtection™ Discount

50% off PremiumPlus™ or Premium Package

Level I - Kaplan Schweser Study Package Appendix II

Product Details

Weekly Class	Live Online (PremiumPlus™ Package) <ul style="list-style-type: none"> • 45 hours of live online classes with archived OnDemand (Premium Package) <ul style="list-style-type: none"> • 50 hours of recorded video lectures • 5 live online workshops on exam application and problem 	
Review Workshops Live Online HK\$4,300	Live Online (PremiumPlus™ Package) <ul style="list-style-type: none"> • 3 full days of live expert instruction and review OnDemand (Premium Package) <ul style="list-style-type: none"> • 30 hours of recorded video on review sessions Review workshop also comes with Secret Sauce® (Print, eBook & Online)	
SchweserNotes™ Vol. 1-5 + Quicksheet HK\$3,700	More than just textbooks. This 5-volume set breaks down the CFA Program curriculum into bite-sized segments that help you learn and retain the Learning Outcome Statements.	
Quicksheet HK\$300	Review on-the-go with this compact study tool that summarizes key formulas, definitions, and concepts needed to pass the CFA exam.	
Practice Exams 1-4 Each Practice Exam: HK\$460 4 in 1 Package: HK\$1,700	Identify opportunities to strengthen your trouble areas and complete your knowledge base with four full-length practice exams.	
SchweserPro™ QBank (Online) HK\$1,500	Prepare with thousands of exam-like questions, custom quizzes, tutorial videos, detailed explanations, and performance metrics.	
Flashcard Set HK\$1,200	Test your knowledge of every Learning Outcome Statement whenever you have 15 minutes to spare with this portable study tool. (Print & Online) Available in Early Oct 2020	
Schweser's Secret Sauce® (Print, eBook & Online) HK\$1,200	Offers concise, readable explanations of the major parts of the CFA Program curriculum and gives you essential exam strategies and guidance on how to pass the exam.	
Online Schweser Mock Exam HK\$800	The Online Schweser Mock Exam is as close as you can get to the actual CFA Program exam in format, difficulty, and length. The Mock Exam helps develop your test-taking skills, identify your weak areas, and demonstrate your mastery of the CFA Program curriculum. Available in Jan 2021	
Understanding Your Financial Calculator HK\$550	Demonstrates the keystrokes, shortcut methods, and underlying calculation concepts for the HP 12C and TI-BAIL Plus calculators.	

Other Online Features

Instructor Link

Connect with a CFA instructor for personal assistance anytime as you prepare for the exam. They are ready and willing to answer your exam and content-related questions. Have your questions answered by expert faculty within 24-48 hours.

Resource Library

This collection of online reference videos and resources will support you before and during studies.

System Requirement:



For PC:
 Mac OS X 10.8+, Window XP+,
 Chrome 60+, Firefox 28+, IE 9+

For Mobile:
 Apple iOS 6+,
 Google Android 4.1-4.5

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